

Finance

IN THE FOWLER COLLEGE OF BUSINESS

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Faculty

Kamal M. Haddad, Ph.D., Professor of Finance,
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Swaminathan G. Badrinath, Ph.D., Professor of Finance
Andrew Q. Do, Ph.D., Professor of Finance
David P. Ely, Ph.D., Professor of Finance, Associate Dean for
Academic Affairs, Fowler College of Business
Jaemin Kim, Ph.D., Professor of Finance, Director of
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Moon H. Song, Ph.D., Professor of Finance
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Nikhil P. Varaiya, Ph.D., Professor of Finance
Stefano Gubellini, Ph.D., Associate Professor of Finance
Januj A. Juneja, Ph.D., Associate Professor of Finance
Marie-Eve Lachance, Ph.D., Associate Professor of Finance
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Babak Lotfaliei, Ph.D., Assistant Professor of Finance
Patrick S. Smith, Ph.D., Assistant Professor of Finance

Executive Financial Planner Advanced Certificate

(Offered through SDSU Global Campus)

(SIMS Code: 226602)

The advanced certificate is intended for students who wish to provide advanced financial planning advice to individuals, families and partners. The program is intended for experienced financial, legal, or accounting professionals who enroll in the Executive Financial Planner Advanced Certificate Program. Offered jointly by the Fowler College of Business and SDSU Global Campus, this advanced certificate is registered with the Certified Financial Planner Board of Standards, Inc., as a program intended to meet their education requirements to sit for the CFP® Certification Examination.

Applicants for any type of graduate study at San Diego State University must: (a) hold an acceptable baccalaureate degree earned at an institution accredited by a regional accrediting association, or the applicant has completed equivalent academic preparation as determined by the graduate dean; (b) have attained a grade point average of at least 2.85 in an acceptable earned baccalaureate degree, or at least 2.85 in the last 60 semester (90 quarter) units attempted, or hold an acceptable post-baccalaureate degree earned at an institution accredited by a regional accrediting association; (c) have been in good standing at the last institution attended. Applicants who do not qualify for admission under provisions (a) and (b) may be admitted by special action if the graduate dean determines that there is other academic or professional evidence sufficient to warrant such action. Applicants from foreign countries see International (Foreign) Student Admission Requirements.

Admission to the Executive Financial Planner Advanced Certificate program does not constitute admission to the master's degree programs of the Fowler College of Business, which has additional requirements including qualifying scores on the Graduate Management Admissions Test (GMAT).

The advanced certificate requires 18 units; however, the program director may waive up to six units based upon prior

coursework and professional credentials. Prerequisites can be waived by the instructor or program director, based upon a student's prior coursework and experience.

Certificate Course Requirements (18 units)

ACCTG 503	Federal Taxation of Individuals.....	3
FIN 522	Individual Insurance Management.....	3
FIN 590	Personal Financial Planning Practicum.....	3
FIN 651	Seminar in Investments.....	3
FIN 657	Financial and Retirement Planning.....	3
FIN 705	Estate Planning.....	3

Students must earn a 3.0 average in these classes in order to earn the certificate. Classes with a grade below a "C" (2.0) may not be included. Students admitted to a master's program may use certificate credit toward a graduate degree in business (Master of Business Administration or Master of Science in Business Administration) with the permission of their graduate adviser.

The adviser for the certificate is Dr. Thomas M.D. Warschauer, Department of Finance. All course units may be used for business majors and concentrations where applicable and approved by the student's graduate adviser.

Courses Acceptable for Master's Degree Programs in Business Administration (FIN)

Refer to Courses and Curricula and Regulations of the Division of Graduate Affairs sections of this bulletin for explanation of the course numbering system, unit or credit hour, prerequisites, and related information.

UPPER DIVISION COURSES

FIN 522. Individual Insurance Management (3)

Prerequisites: Undergraduate: Completion of lower division requirements for the major. Graduate: Completion of prerequisite core. **Proof of completion of prerequisites required:** Copy of transcript.

Economic, legal, social, and ethical considerations of individual, business and group insurance including life, health, property, and liability insurance. Risk exposure and policy analysis.

FIN 585. Estate Planning Issues and Practice (3)

Prerequisite: Undergraduate: Completion of lower division requirements for the major. Graduate: Completion of prerequisite core. **Proof of completion of prerequisites required:** Copy of transcript.

Tax and non-tax issues in establishment of a personal estate plan. Financial and non-financial goals and objectives. Not open to students with credit in Finance 445 or 705.

FIN 589. Personal Financial Planning (3)

Prerequisite: Business Administration 323. **Proof of completion of prerequisite required:** Copy of transcript.

Financial planning process including data gathering, cash flow and debt considerations. Retirement planning including social security. Education funding. Practice management considerations including establishment of ethical and legal client relationships.

FIN 590. Personal Financial Planning Practicum (3)

Prerequisite: Credit or concurrent registration in Finance 589 or 657. **Proof of completion of prerequisite required:** Copy of transcript.

Preparation of family financial plans using comprehensive cases and/or real financial data. Financial planning software. Counseling and communication skills, behavioral finance, client psychology, practice standards, discipline and ethics. Students may register once at the undergraduate level and may repeat with new content at the graduate level.

Finance

FIN 596. Contemporary Topics in Finance (1-3)

Prerequisites: Business major approved by the Fowler College of Business and consent of instructor.

Contemporary topics in modern finance. May be repeated with new content. See *Class Schedule* for specific content. Limit of nine units of any combination of 296, 496, 596 courses applicable to a bachelor's degree. Credit for 596 and 696 applicable to a master's degree with approval of the graduate adviser.

GRADUATE COURSES

FIN 604. Legal Environment for Executives (3)

Legal environment of business, government regulation, social and ethical considerations in the administration of justice, substantive law of contracts, property, agency, and business organizations.

FIN 617. Financial Management II (3)

Prerequisite: Business Administration 629.

Develops topics to include asset pricing, capital budgeting techniques, dividend policy and financing decisions, applications of options and futures, term structure of interest rates, regulation of financial markets, leasing decisions, corporate control.

FIN 641. Financing the Emerging Enterprise (3)

Prerequisite: Business Administration 629.

Financial considerations in emerging and growing enterprises to include internal financial management, external funding sources, and dealing with venture capitalists. Emphasis on integration of theory, computer analysis, and human judgment in financial decision making.

FIN 642. Financial Risk Management (3)

Prerequisite: Business Administration 629.

Measurement and management of foreign exchange, interest rate, equity, and commodity risks. Risk assessment models, implementation strategies, risk management, and capital allocation. Using derivatives for risk management.

FIN 651. Seminar in Investments (3)

Prerequisite: Business Administration 629.

Characteristics of financial markets and instruments. Contemporary as well as traditional approaches to problems of pricing individual securities; portfolio selection and analysis; techniques of analysis; measurement of risk; return, and investment values.

FIN 652. Seminar in Security Analysis and Portfolio Management (3)

Prerequisite: An upper division or graduate course in investments.

Security valuation, alternative instruments, portfolio theory, active and passive management techniques, asset allocation, performance measurement, use of derivative instruments in portfolio management, debt portfolio management techniques, ethical standards.

FIN 653. Case Studies in Financial Management (3)

Prerequisite: Business Administration 629.

Applies theory of finance to practice of financial decision-making. Includes valuation, mergers, and restructurings. Integrates theory and managerial judgment in a decision-making context. Case study format.

FIN 654. Seminar in International Business Finance (3)

Prerequisite: Business Administration 629.

International financial instruments, markets, and institutions; international trade and capital flows; foreign exchange risks and their management; direct and portfolio investment; implications for conduct of global business.

FIN 656. Seminar in Financial Institutions (3)

Prerequisite: Business Administration 629.

Change in financial institution management thought. Trends in asset management theory and liability management theory. Current events in financial institutions, changes likely to occur and proposed changes in laws and regulations.

FIN 657. Financial and Retirement Planning (3)

Prerequisite: Business Administration 629.

Decision-making process and theory of individual financial needs. Retirement planning including Social Security. Education planning. Financial strategies that aid in meeting family goals.

FIN 659. Decision Making in the World Economy (3)

Prerequisite: Classified graduate standing.

Application of macroeconomic theory to business decision making, study of economic environment and government macroeconomic policy from a business viewpoint.

FIN 696. Seminar in Selected Topics (3)

Intensive study in specific areas of finance. May be repeated with new content. See *Class Schedule* for specific content. Credit for 596 and 696 applicable to a master's degree with approval of the graduate adviser.

FIN 705. Seminar in Estate Planning (3)

Prerequisite: Business Administration 629.

The scope and nature of estate planning. Identification and analysis of the environmental factors and those aspects of federal and state law affecting estate planning and taxation. Estate taxation and social policy.

FIN 797. Research (3) Cr/NC/RP

Prerequisite: Advancement to candidacy.

Research in the area of finance. Maximum credit six units applicable to a master's degree.

FIN 798. Special Study (1-3) Cr/NC/RP

Prerequisite: Consent of staff; to be arranged with department chair and instructor.

Individual study. Maximum credit six units applicable to a master's degree.
